

BROMSGROVE DISTRICT COUNCIL

AUDIT BOARD

28th SEPTEMBER 2009

CORPORATE RISK REGISTER – 2009/10

Responsible Portfolio Holder	Councillor Geoff Denaro
Responsible Head of Service	Head of Financial Services

1. Summary

1.1 To present the Corporate Risk Register 2009/10 to Members for consideration.

2. Recommendation

2.1 The Audit Board is recommended to approve the Corporate risk register objectives as detailed at Appendix A.

3. Background

3.1 The Council has adopted a robust framework for risk management which has been developed over the last few years and resulted in an improvement to the Use of Resources scoring for this theme of 3 (out of 4) in 2007/08. This is to ensure that the Council has effective controls in place to mitigate and manage risk across the organisation and to demonstrate this within the Use of Resources framework.

3.2 The framework implemented by the Council involves departmental and corporate risk registers being developed to detail;

- Key Objectives;
- Risk Score;
- Current controls;
- Actions and improvements;
- Responsible officers and target dates for each action and improvement; and
- Progress against each action and improvement.

3.3 The Corporate Risk register is considered and updated at Corporate management team to ensure that risks are being managed and to address any new risks that have been identified.

3.4 The objectives and key controls included in the Corporate Register for 2009/10 is attached at Appendix A.

- 3.5 The departmental registers are reviewed on a quarterly basis. This Board considers the actions and updates on the Corporate Register on a half yearly basis. The updates will be reported to this Board at the next meeting.
- 3.6 In addition to the review of the register there is a planned programme of risk management training for officers that supports the development of the risk culture through the organisation.

4. **Key Objectives**

- 4.1 There are 16 objectives within the 2009/10 Corporate Register which reflect the risk environment and the Council's main objectives. The register links with the priorities and objectives in the delivery of the Councils services. The main changes from the 2008/09 register are as follows:

Addition:

- Effective Asset Management.

Amendments (to objective wording):

- Capability to respond to the Civil Contingencies Act and effective Business Continuity.
- Effective employee recruitment, retention and workforce planning.
- Effective two tier working and shared services.
- Successful management of Job Evaluation appeals process.
- Ensure the Council achieves an improved rating under the CAA regime.
- Influence a regenerated town centre through improved retail and public service.

Removals:

- Effective Financial Management and Internal Control in compliance with Use of Resources assessment.
- Effective partnership working.
- Equalities and diversity agenda embedded across the Authority.
- Effective Projects Management.
- Effective Business and Performance Management.

The above have been removed as either arrangements are in place or alternative monitoring is carried out, for example, via the Improvement Plan.

5. **Overall Summary**

- 5.1 It is anticipated that the Corporate risk register for 2010/11 will be developed as part of the Business Planning process and will be presented to the Board at the first meeting of 2010/11.

6. **Financial Implications**

- 6.1 None outside of existing budgets.

7 Legal Implications

7.1 None except specific legislation associated with any of the risk registers key objectives.

8 Corporate Objectives

8.1 Council Objective 02: Improvement.

9 Risk Management

9.1 Developing and maintaining the corporate risk register will assist the Council to achieve its objectives, priorities, vision and values. The development and continual review of the register will also support the Councils achievement of the Use of Resources framework.

10 Customer Implications

10.1 In addressing the risks associated with the delivery of the Councils services the customers will receive a consistent and controlled quality of service provision.

11 Equalities and Diversity Implications

13.1 The specific issue of improving equality and diversity is included within the Legal, Equalities and Democratic Services departmental register.

12 Other Implications

Procurement Issues: None
Personnel Implications: None
Governance / Performance Management: Effective governance process.
Community Safety including Section 17 of Crime and Disorder Act 1998: None
Policy: None
Environmental: None

13 Others Consulted on the Report

Portfolio Holder	Yes
Chief Executive	Yes
Executive Director (Services)	No

Assistant Chief Executive	No
Head of Service	Yes
Head of Financial Services	Yes
Head of Legal, Equalities & Democratic Services	yes
Head of HR & Organisational Development	Yes
Corporate Procurement Team	NA

14 Appendices

Appendix A – Corporate Risk Register 2009/10

15 Background Papers

None

Contact officer

Jayne Pickering – Head of Financial Services
E Mail: j.pickering@bromsgrove.gov.uk
Tel: (01527) 881207